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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

SON-1450/DIV

Total Pages

First Named Inventor or Application Identifier

Junichi SATO

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents

**ADDRESS  
TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages <b>20</b> ] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R&amp;D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identical of above copies</li></ul>
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC d113) [Total Sheets <b>3</b> ]	
4. Oath or Declaration [Total Pages <b>2</b> ] <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly Executed (original or copy)</li><li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) <b>[Note Box 5 below]</b><ul style="list-style-type: none"><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(2) and 1.33(b).</li></ul></li></ul>	
5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	

**ACCOMPANYING APPLICATION PARTS**

- |   |  |
|---|--|
| 8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & Documents(s))                           |  |
| 9. <input type="checkbox"/> 37 CFR 3.27(B) Statement (when there is an assignee)                                | <input type="checkbox"/> Power of Attorney   |
| 10. <input type="checkbox"/> English Translation Document (if applicable)                                       |  |
| 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449                                    | <input type="checkbox"/> Copies of IDS Citations   |
| 12. <input checked="" type="checkbox"/> Preliminary Amendment   |  |
| 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized) |  |
| 14. <input type="checkbox"/> Small Entity Statement(s)  | <input type="checkbox"/> Statement filed in prior application<br>Status still proper and desired |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>if foreign priority is claimed)          |  |
| 16. <input type="checkbox"/> Other:   |  |

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information

☐ Continuation

☒ Divisional

☐ Continuation-in-part (CIP)

of prior application No: 08/739,101

**18. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

NAME **Ronald P. Kananen**  
Reg. No. 24,104

ADDRESS **Suite 501, 1233 20<sup>th</sup> Street, NW**

CITY **Washington**

STATE **DC**

ZIP CODE **20036**

COUNTRY **United States of America**

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REQUEST FOR CONTINUATION/DIVISIONAL APPLICATION  
UNDER 37 CFR 1.53(b)

#2/Pre  
2/10/98  
RG  
10-28-98

Docket No. SON-1450/DIV  
File No. \_\_\_\_\_  
Anticipated Classification of  
this application:  
Class \* \_\_\_\_\_ Subclass \* \_\_\_\_\_  
Prior Application:  
Examiner T. Weingart  
Group Art Unit 1763

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a request for filing a divisional application, under 37 CFR 1.53(b) of pending prior application Serial No. 08/739,101 filed on October 25, 1996 of Junichi SATO entitled CHEMICAL-MECHANICAL POLISHING PROCESS.

091520-152000

- ☒ Enclosed is a true copy of prior application Serial No. 08/739,101 including the Declaration and Power of Attorney, as originally filed on October 25, 1996.
- ☐ A \_\_\_\_\_ was submitted in the prior application on \_\_\_\_\_, a copy of which is enclosed.
- 3a. ☒ The filing fee is calculated below:

CLAIMS AS FILED IN THE PRIOR APPLICATION, PLUS OR MINUS ANY CLAIMS ADDED OR CANCELLED BY AMENDMENT BELOW						
	NUMBER FILED		(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) NUMBER EXTRA	RATE	BASIC FILING FEE \$790/\$395
Total Claims	8	Minus	**20	= 0	x \$11° \$22	\$0
Indep. Claims	2	Minus	***3	= 0	x \$41° \$82	\$0
Fee for Multiple Dependent Claims					\$135° \$270	
			TOTAL ADDITIONAL FEE FOR THIS AMENDMENT			\$790.00

- 3b. ☐ The present application is filed by a small entity (37 C.F.R. § 1.9(f)). A Verified Statement claiming small entity status was filed in the prior application.

3c. ☒ Any prior general authorization to charge an issue fee under 37 C.F.R. 1.18 to Deposit Account No. 18-0013 is hereby revoked. The Commissioner is hereby authorized to charge any fees which may be required during the entire pendency of this application under 37 CFR 1.16 and 1.17, or to credit any overpayment, to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.

4a. ☒ Charge \$ 790.00 to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.

5. ☒ Cancel in this application original claims 1 to 11 and 15 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

6. ☒ Amend the specification by inserting before the first line the sentence: --This application is a divisional of application Serial No. 08/739,101 filed October 25, 1996.

7a. ☐ Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.

7b. ☒ New ☒ formal ☐ informal drawings are enclosed.

8a. ☒ Priority of the following application(s) is claimed under 35 U.S.C. § 119:

<u>Country</u>	<u>Application No.</u>	<u>Filed (Mo., Day &amp; Yr.)</u>
JAPAN	P07-321005	November 14, 1995

8b. ☒ The certified copy of the priority application(s) has been filed in prior U.S. Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

9. ☒ The prior application is assigned of record to:  
SONY CORPORATION

10. ☒ The power of attorney in the prior application is to:  
Richard Linn, Registration No. 25,144; Paul Devinsky, Registration No. 28,553; Ronald P. Kananen, Registration No. 24,104; Albert J. Zervas, Registration No. P-33,822; Michael D. Bednarek, Registration No. 32,329; James G. Gatto, Registration No. 32,694; Allen W. Wark, Registration No. 30,503.

11a. ☒ The power appears in the original papers in prior application Serial No. 08/739,101.

11b. ☐ Since the power does not appear in the original papers, a copy of the power in prior application Serial No. \_\_\_\_\_ is enclosed.

11c. ☒ Recognize as Associate Attorneys:

Ralph T. Rader, Registration No. 28,772; Michael D. Fishman, Registration No. 31,952; Richard D. Grauer, Registration No. 22,388; Joseph V. Coppola, Sr., Registration No. 33,373; Michael B. Stewart, Registration No. 36,018; Jeffrey L. Thompson, Registration No. 37,025; Steven L. Nichols, Registration No. 40,326; David K. Benson, Registration No. 42,314; and Kyle J. Choi, Registration No. 41,480.

11d. ☒ Applicant's undersigned attorney may be reached by telephone in our Washington D.C. Office at

(202) 955-3750

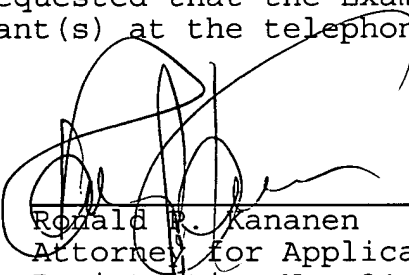
11e. ☒ Address all future communications to:

Ronald P. Kananen  
Rader, Fishman & Grauer PLLC  
1223 20<sup>th</sup> Street, N.W.  
Suite 501  
Washington, D.C. 20036

12a. ☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim.)

12b. ☐ The applicant(s) presently intend(s) to file additional papers in this case after receiving an official Filing Receipt. Should the Examiner take this case up for action before receiving such papers, it is respectfully requested that the Examiner contact the attorneys for the applicant(s) at the telephone number shown above.

Dated: September 29, 1998

  
\_\_\_\_\_  
Ronald P. Kananen  
Attorney for Applicant(s)  
Registration No. 24,104

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